

1 Article

2 Indoor Air Quality and Sustainability Management – 3 case study in three Portuguese Healthcare Units

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11 **Abstract:** Adequate management of indoor air quality (IAQ) in healthcare units has relevant
12 impacts on sustainability performance due to its effects on patient safety, occupational health and
13 safety, and energy consumptions. This study sought to identify improvement opportunities on
14 IAQ management by collecting and analyzing experimental data of selected parameters in three
15 healthcare units in Portugal: two general hospitals and one primary healthcare center. Indoor air
16 temperature, relative humidity, CO₂, bacteria, and fungi concentrations were measured in summer
17 and winter campaigns in June /July 2017 and in January /March 2018. Results show that the
18 exclusive use of natural ventilation is not adequate when the affluence of users is high, but the
19 analyzed parameters revealed acceptable results under low occupation intensity conditions.
20 Results also show that keeping low indoor air relative humidity has a significant impact in
21 reducing fungi concentration, and that there is a significant correlation at the 0.05 level between
22 indoor air CO₂ concentration and bacterial loads. Therefore, as opportunities to improve
23 sustainability, IAQ management in healthcare facilities should consider natural ventilation as a
24 complement to mechanical ventilation systems and should focus on adequate control of indoor air
25 relative humidity and CO₂ concentration to reduce the risk of airborne infections.

26 **Keywords:** indoor air quality, healthcare facilities, sustainability management

28 1. Introduction

29 Sustainable healthcare systems need to balance economic, social and ecological interests, in a
30 comprehensive approach and with a long-term focus [1]. Healthcare sustainability management
31 faces the challenge of providing high quality healthcare services with limited financial resources,
32 attending the needs and expectations of patients and healthcare professionals, and minimizing
33 negative environmental impacts [1,2]. Europe uses about 10% of the Gross Domestic Product in
34 construction and operation of healthcare buildings [3], and the shift of the healthcare market from
35 volume-based to value-based demonstrates the importance of adequate sustainability management
36 in healthcare organizations [4]. Sustainability management programs, with their corresponding
37 control systems, are nowadays common practice in many healthcare facilities, with recognized
38 positive effects on performance [2,4]. The comparison of different healthcare organizations practices
39 is a relevant benchmarking tool to search for improvement opportunities regarding the
40 environmental and societal quality of the service provided, while enhancing adequate economic and
41 financial performances [2,3].

42 It is widely recognized that healthcare facilities are major energy consumers [3–7] and,
43 according to Carnero [2], European hospitals are responsible for 5% of the CO₂ emissions of the
44 European Union. In a benchmarking study with 55 Portuguese hospitals, Castro et al. [3] report that

45 energy consumption represents over 70% of the costs with utilities and waste management. The
46 adoption of natural ventilation systems for indoor environmental quality management is one among
47 many options to reduce energy consumption in healthcare facilities, contributing to their economic
48 and environmental sustainability [2,6,8].

49 Indoor air quality (IAQ) is of great relevance for sustainability management in healthcare
50 organizations, given its recognized influence on patient safety, occupational health, and
51 productivity of healthcare professionals [6,9–11]. Indoor air contamination may be caused by several
52 factors, namely: outdoor pollutants, building materials, furnishing, and human activities [12–16].
53 The main health problems related to poor IAQ are headaches, dizziness, nausea, fatigue, eye, throat
54 and skin irritations [10,12,14–18]. In healthcare facilities, a major concern for IAQ management is the
55 aerial dissemination of microbiological pathogens in clinical environment, causing nosocomial
56 infections, particularly dangerous to immunocompromised patients [16,17,19–22]. Furthermore, the
57 exposure to bacteria and fungi in indoor air is positively associated with work-related respiratory
58 disease symptoms in hospital employees [23].

59 Ventilation systems play an important role in IAQ management, as they are used to provide
60 thermal comfort by controlling temperature and humidity in indoor environments, and by diluting
61 indoor air pollutants with outdoor air (if of good quality), lowering their concentration to minimize
62 negative health impacts. Indoor CO₂ concentration is an indicator of the level of ventilation with
63 outdoor air, and is frequently used to characterize indoor air quality [12,13,24,25]. Inadequate
64 ventilation is one of the causes of poor indoor air quality, with negative consequences for the health
65 and wellbeing of the occupants [26,27]. Ventilation systems may use mechanical or natural forces to
66 promote indoor airflow. Natural ventilation systems have zero energy costs, but are difficult to
67 predict and control [8,28]. In a research study involving mechanical and naturally ventilated
68 buildings in Austria between 2010 and 2012, Wallner et al. [25] conclude that indoor air quality is
69 significantly better in mechanically ventilated homes than in those using exclusively natural
70 ventilation. On the other hand, Jurado et al. [12] report that the CO₂ concentration levels in
71 university classrooms in Brazil were significantly higher in rooms ventilated through
72 air-conditioning when compared with natural-ventilated classrooms. However, there is no evidence
73 that these air-conditioning systems received fresh-air from the exterior.

74 The use of natural ventilation for IAQ management in healthcare facilities has been widely
75 investigated: in 2007 the World Health Organization published a guideline document on infection
76 prevention in healthcare and acknowledged the effectiveness of natural ventilation for infection
77 control in healthcare facilities [29]; Escombe et al. [30] conducted a research study in eight hospitals
78 in Peru, and their results show that natural ventilation reduces airborne infection transmission risks;
79 Qian et al. [8] report field measurements in naturally ventilated hospital wards in Hong Kong
80 showing that natural ventilation can achieve adequate ventilation rates for infection control;
81 Gilkeson et al. [27] conducted experiments with a tracer gas in hospital wards in the UK, and
82 concluded that natural ventilation is effective for controlling airborne infection risks. However,
83 natural ventilation systems in healthcare facilities are not effective if the appropriate ventilation rates
84 cannot be achieved, either due to window and door closing due to unfavorable outdoor
85 meteorological conditions, or to uncontrolled flow patterns [27,28]. One important factor to be
86 considered in natural ventilation systems is outdoor air quality. Several studies report higher fungal
87 concentration in natural ventilated rooms, associated to outdoor fungal infiltration [12,25,31]. The
88 influence of outdoor air in indoor fungal levels has been proven by several studies regarding IAQ in
89 hospitals [17,24,31].

90 The aim of this study was to identify improvement opportunities in IAQ management in
91 healthcare facilities through the measurement of indoor air parameters relevant for the exposure
92 risks of patients and healthcare staff. For this purpose, sampling campaigns were performed in three
93 Portuguese healthcare units with different characteristics, to measure indoor and outdoor air
94 microbiological loads. At the same time, other indoor air parameters that could be controlled by IAQ
95 management were also measured: temperature, relative humidity, and CO₂ concentration. The
96 sampling campaigns were planned to compare the referred parameters in selected rooms

97 performing similar activities, located in different healthcare units, and also to compare the IAQ
98 parameters of a given location under different activity conditions (normal and emergency /urgent
99 care), and during different seasonal periods (winter /summer). Results suggest that the use of
100 natural ventilation should be considered as a complement to mechanical ventilation systems in IAQ
101 management in healthcare facilities, reducing energy consumption and therefore improving
102 environmental and economic sustainability performances. Results also show that adequate control
103 of relative humidity and CO₂ concentration in the indoor air of healthcare facilities could effectively
104 reduce the risk of airborne infections.

105 2. Materials and Methods

106 2.1. Healthcare units

107 This study focuses on the characterization of selected IAQ parameters in three healthcare units
108 located in the northwest region of Portugal: two general hospitals (H1 and H2), and a health center
109 (HC) that provides primary healthcare for outpatients through planned consultations and
110 treatments, and also acts as an urgent care center. The healthcare units under study are within 20 km
111 distance of each other.

112 H1 has 190 beds, 515 healthcare workers, and was inaugurated in 2012. H2 operates in a
113 20-year-old building with a total of 350 beds and counts 1800 healthcare workers. The health center
114 HC serves a population of 30 000 inhabitants and counts 135 healthcare workers, operating in a
115 building from the XIX century. In the health center HC, primary healthcare services are available on
116 week days, and urgent care is available on week nights and weekends.

117 In hospitals H1 and H2 indoor air quality is assured by mechanical ventilation, with air
118 treatment units located on the top floor of the buildings. Ventilation flows are operated according to
119 procedures defined by the ventilation and air conditioning project engineers. Natural ventilation
120 may occur through window and door opening, although there is no specific procedure defined for
121 this process. No mechanical ventilation system exists in the health center HC: indoor air renovation
122 depends exclusively on natural ventilation, and thermal comfort is controlled with window
123 air-conditioners. Again, there is no specified procedure regarding the frequency of window or door
124 opening for indoor air renovation.

125 2.2. IAQ characterization campaigns

126 Indoor and outdoor air bacteria and fungi concentrations were measured with a SAS DUO 360
127 air sampler (VWR International, Milan, Italy) that collected 200 L air samples, at a flow rate of 180
128 L.min⁻¹, in tryptic soy agar (TSA) for bacteria and malt extract agar (MEA) for fungi. The samples
129 were then incubated at 37 °C (for bacteria) and at 25 °C (for fungi) to quantify colony-forming units.
130 When the air sample was collected for the microbiological determinations, other indoor air
131 parameters, in the scope of IAQ management, were registered: temperature, relative humidity and
132 CO₂ concentration. These parameters were measured using a calibrated KIMO probe connected to a
133 data logger KIMO AQ 200 (Saurmann Industrie, Chevry-Cossigny, France).

134 Sampling followed the technical recommendations of the ISO 16000 series [32–34]: the
135 measurement location in each room was separated by at least 1 to 2 m from the walls, the influence
136 of possible interferences was avoided, and the sampling devices were located 1.5 m above ground
137 level for evaluation at the breathing zone. In all campaigns in hospitals H1 and H2, duplicate
138 samples were collected to ensure sampling accuracy. However, in the health center HC it was not
139 possible to collect duplicate samples due to experimental constraints.

140 The time of the day selected for sampling followed the recommendations of the healthcare staff
141 and management, in order to be representative of typical conditions in each sampled room: all
142 rooms had been in regular use for at least two hours, and room occupancy was stable during sample
143 collection.

144 Campaigns were planned to characterize the selected IAQ parameters on:

- 145 • similar rooms in different healthcare units: consulting, treatment and waiting rooms at
146 H1, H2 and HC, and hospital wards at H1 and H2;
147 • similar rooms under different weather conditions: summer and winter campaigns at
148 the general hospitals H1 and H2;
149 • the same healthcare unit under different working conditions: at HC and H2,
150 campaigns were performed both during normal operation and emergency /urgent care
151 assistance.

152 The summer campaigns took place in June and July 2017, and the winter campaigns were
153 performed between January and March 2018.

154 2.3. Data analysis

155 The Shapiro-Wilk test was used to analyze the normality of the experimental data. The results
156 obtained enabled the utilization of parametric statistical tests [35]. The results obtained for the
157 selected indoor air quality parameters (air temperature, relative humidity, CO₂ concentration,
158 bacteria and fungi concentrations) were compared by two-way analysis of variance (ANOVA) for
159 different healthcare units (H1, H2 and HC) operating in different conditions (normal and emergency
160 /urgent care). Due to the presence of interaction effects, data was separated into groups: different
161 healthcare units and working conditions were compared separately using one-way ANOVAs with
162 Tukey post hoc comparisons. A t-test was used to compare results of all measured parameters
163 between summer and winter seasons for the two hospitals operating under normal conditions. To
164 analyze the relation between indoor air measured parameters, the Pearson correlation test was
165 applied to all results. Statistical analysis was carried out with IBM Statistical Package for the Social
166 Sciences (SPSS Statistics) version 25.

167 3. Results

168 The results obtained with the indoor sampling campaigns for all locations and analyzed
169 parameters are presented in Table 1. Results show that indoor CO₂ concentration varied between 405
170 ppm and 1870 ppm; indoor air temperature between 19.3 °C and 25.8 °C; indoor air relative humidity
171 between 25.8% and 65.5%; indoor air microbiological loads varied between 85 CFU.m⁻³ and 585
172 CFU.m⁻³ for bacteria, and between 5 CFU.m⁻³ and 395 CFU.m⁻³ for fungi. Room occupancy was a
173 concern in the IAQ characterization campaigns and, therefore, similar rooms were analyzed in
174 equivalent occupancy ranges (Table 1).

175 Portuguese legislation on indoor air quality [36] sets the limit of 1250 ppm for CO₂
176 concentration, requires fungi concentration to be lower in indoor air than in outdoor air (*fungi in-out*
177 < 0 CFU.m⁻³), and sets the difference between bacteria concentration in indoor air and outdoor air
178 (*bact in-out*) to be below 350 CFU.m⁻³. The results presented in Table 1 show that limits provided by
179 the Portuguese legislation were exceeded in two rooms in hospital H2 and in four rooms in the
180 health center HC. In hospital H1 all results obtained were in compliance with the Portuguese
181 legislation.

182 Nonetheless, the European Standard EN 15251-2007 recommends that in category I buildings
183 (occupied by very sensitive and fragile persons), indoor air relative humidity should be kept
184 between 30% and 50% and indoor air temperature between 21.0 °C and 25.5 °C [37]. These values
185 were not always verified at these healthcare facilities: in the health center HC, RH was systematically
186 above 50%, H2 showed several results in which RH was below 30% in the winter season, and in H1
187 all sampled rooms had RH above 50% in the summer.

Table 1. Global results of the IAQ characterization campaigns in the three healthcare units (in bold - value exceeding limits provided by legal acts)

Date (YYYY-MM-DD)	Health Unit	Season	Working condition	Type of room	Occupancy range	CO ₂ (ppm)	T (°C)	Relative Humidity (%)	Bacteria in (CFU.m ⁻³) Mean ± Std.	Bacteria out (CFU.m ⁻³) Mean ± Std.	Fungi in (CFU.m ⁻³) Mean ± Std.	Fungi out (CFU.m ⁻³) Mean ± Std.
2017-06-20	H1	Summer	Normal	Consulting	1-2	630	23.6	61.1	128 ± 11	73 ± 32	8 ± 11	515 ± 7
				Ward	1-2	550	23.2	55.5	140 ± 42			
2017-06-26	H2	Summer	Normal	Consulting	1-2	632	24.2	49.5	423 ± 11	63 ± 18	33 ± 11	28 ± 4
				Ward	2-4	780	25.8	56.1	298 ± 4	193 ± 32	153 ± 11	200 ± 7
2017-06-30	H2	Summer	Normal	Ward	2-4	NA	NA	NA	240 ± 21	105 ± 35	208 ± 4	283 ± 46
2017-07-26	H1	Summer	Normal	Physioth. gym	2-4	528	24.8	56.5	408 ± 18	253 ± 138	395 ± 0	823 ± 39
				Ward	1-2	441	24.3	52.2	170 ± 14		190 ± 21	
				Day-care room	2-4	405	24.8	59.9	155 ± 7		65 ± 7	
2018-01-29	H1	Winter	Normal	Consulting	1-2	718	22.8	36.6	105 ± 57	40 ± 14	108 ± 32	173 ± 4
				Nebulizer room	1-2	666	22.8	36.2	253 ± 39		103 ± 11	
2018-02-02	H2	Winter	Normal	Respirat. Physioth.	10-20	1080	23.3	31.6	305 ± 21	48 ± 4	50 ± 21	53 ± 11
				Nebulizer room	1-2	698	23.4	27.4	123 ± 46		33 ± 18	
				Consulting	1-2	745	23.8	27.8	410 ± 49		5 ± 7	
				Ward	2-4	1039	23.4	31.9	230 ± 42		23 ± 4	
			Emergency	Treatment room	1-2	709	24.1	30.7	240 ± 63	75 ± 42	45 ± 0	98 ± 11
				Waiting room	10-20	1140	21.7	37.2	190 ± 7		40 ± 7	
				Nebulizer room	10-20	698	23.0	29.3	85 ± 14		13 ± 4	
2018-03-02	HC	Winter	Normal	Treatment room	1-2	672	24.0	27.8	150 ± 42	413	5 ± 0	340
				Treatment room	1-2	590	23.0	26.9	93 ± 25		18 ± 11	
				Consulting	1-2	620	23.9	25.8	138 ± 4		5 ± 0	
				Treatment room	1-2	856	19.5	52.7	167		247	
				Consulting	1-2	984	21.0	51.0	133		200	
				Waiting room	5-10	863	20.2	50.9	360		193	
2018-03-05	HC	Winter	Emergency	Treatment room	1-2	930	20.1	52.1	220	50	50	50
				Consulting	1-2	1059	20.5	51.4	585		140	
				Waiting room	10-20	1212	19.3	54.4	295		75	
2018-03-17	HC	Winter	Emergency	Treatment room	1-2	1497	22.1	54.5	500	140	207	187
				Waiting room	10-20	1860	19.3	65.5	487		140	
				Consulting	1-2	1157	21.3	54.3	NA		NA	

190 3.1. Comparison of analyzed IAQ conditions in the three healthcare units

191 Results from the winter campaigns were classified in five groups: normal working conditions
192 for H1, for H2 and for HC, and emergency /urgent care for H2 and for HC. A two-way ANOVA
193 showed that there is an interaction between the effects of the factors “healthcare unit” and “working
194 condition” on most of the analyzed parameters, except for indoor air temperature and relative
195 humidity (Table 2). Figure 1 and Figure 2 show the estimated marginal means for the analyzed
196 parameters for the five groups considered in this analysis. This type of graph is recommended for
197 analyzing the results of a two-way ANOVA, since it enables an easy interpretation of the interaction
198 between the two independent variables: non-parallel lines suggest the existence of relevant
199 interactions [38].

200 Given that the effect of the factor “healthcare unit” depends on the effect of the factor “working
201 conditions”, a one-way ANOVA tested differences in the results, considering these factors
202 separately: all analyzed parameters were compared in the three healthcare units under normal
203 working conditions for H1, H2 and HC, and, when significantly different, healthcare units were
204 compared in pairs using Tukey post hoc (Table 2). The analyzed parameters in hospital H2 and the
205 health center HC under emergency /urgent care conditions were also compared (Table 2). The
206 analysis of Figure 1 and Figure 2, combined with the results of these statistical tests (p-values and
207 partial eta squared), show that:

- 208 • Under normal working conditions, the two hospitals showed no differences on most analyzed
209 parameters, with the exception of indoor air relative humidity (higher in hospital H1), and the
210 difference *fungi in-out* (higher in hospital H2).
- 211 • Under normal working conditions, most of the analyzed parameters in the health center HC
212 were different from those of hospitals H1 and H2: air temperature and the *bacteria in-out*
213 difference were lower in HC, whereas relative humidity and fungi concentration were higher in
214 HC. No significant differences were found in indoor air CO₂ and bacteria concentrations
215 between HC and hospitals H1 and H2, and in the *fungi in-out* difference between HC and
216 hospital H1.
- 217 • Under emergency /urgent care conditions, all the analyzed parameters showed significant
218 differences when comparing the health center HC with hospital H2: HC showed higher results
219 for indoor air CO₂, bacteria and fungi concentrations, relative humidity, and for the *bacteria*
220 *in-out* and *fungi in-out* differences; indoor air temperature was lower in HC.

221
222 To exclude the effect of the “healthcare unit” factor, a one-way ANOVA compared the results of
223 the analyzed parameters in hospital H2 under normal and under emergency /urgent care working
224 conditions. The same test was performed on the analyzed parameters in the health center HC for
225 both these working conditions (Table 2).

- 226 • In hospital H2 significant differences were found between microbiological parameters under
227 normal and under emergency /urgent care working conditions: indoor air bacteria
228 concentration, *bacteria in-out* and *fungi in-out* differences were higher under normal working
229 conditions.
- 230 • In the health center HC, the higher values obtained for *bacteria in-out* and *fungi in-out* in
231 emergency /urgent care situations are statistically relevant. Although Figure 1 and Figure 2
232 show higher values for indoor air CO₂ and bacteria concentrations in emergency /urgent care
233 conditions, these differences are not significant at a 0.05 significance level.

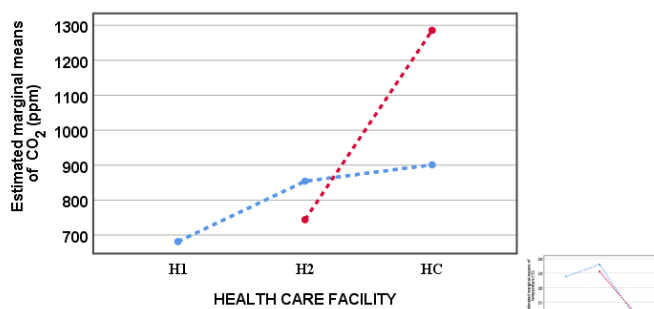
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Table 2. Results of the statistical tests used to compare the analyzed parameters in the three healthcare units in the winter season

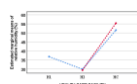
			CO ₂	Temperature	Relative Humidity	Bacteria in	Bacteria in-out	Fungi in	Fungi in-out
Health Unit * Working Condition	Two-way ANOVA		0.040	0.390	0.239	0.001	0.000	0.016	0.000
	p-value ^a								
	One-way ANOVA								
	p-value ^a / Partial Eta Squared		0.179 / 0.349	0.000 / 0.931	0.000 / 0.976	0.705 / 0.043	0.000 / 0.659	0.000 / 0.812	0.001 / 0.609
Normal	H1 – H2			0.078	0.001		0.959	0.057	0.004
	H1 – HC	Post-hoc Tuckey		0.000	0.000		0.001	0.000	0.514
	H2 – HC	p-value ^a		0.000	0.000		0.000	0.000	0.002
		One-way ANOVA							
Emergency	H2 – HC	p-value ^a / Partial Eta Squared	0.014 / 0.507	0.002 / 0.671	0.000 / 0.896	0.000 / 0.711	0.000 / 0.715	0.000 / 0.688	0.000 / 0.729
H2	Normal – Emergency	One-way ANOVA	0.426 / 0.081	0.308 / 0.129	0.836 / 0.006	0.002 / 0.419	0.002 / 0.420	0.066 / 0.176	0.000 / 0.637
HC	Normal – Emergency	p-value ^a / Partial Eta Squared	0.102 / 0.336	0.790 / 0.011	0.255 / 0.180	0.109 / 0.371	0.002 / 0.829	0.058 / 0.477	0.004 / 0.772

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^a in bold, p- values lower than 0.05

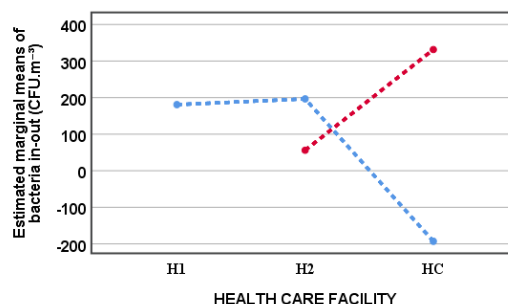


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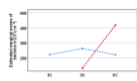


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Figure 1. Estimated marginal means for physical and chemical parameters in the winter season, under normal working conditions (blue dotted line) and under emergency /urgent care assistance (red dotted line).

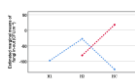
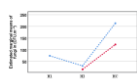


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Figure 2. Estimated marginal means for microbiological parameters in the winter season, under normal working conditions (blue dotted line) and under emergency /urgent care assistance (red dotted line).

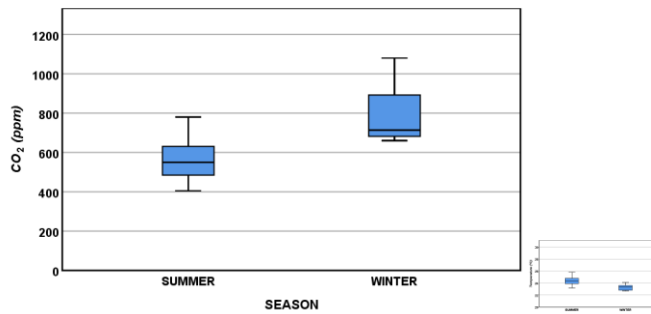


247 **3.2. Seasonal variation of IAQ**

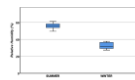
248 In selected rooms in hospitals H1 and H2, IAQ characterization campaigns were performed
249 both in the summer and winter seasons. Given that in the previous section it was shown that, under
250 normal working conditions, both hospitals had similar results for the analyzed parameters, all the

251 results of hospitals H1 and H2 obtained for normal working conditions were used to analyze
 252 seasonal variations of IAQ. Figure 3 and Figure 4 present the boxplots for the analyzed parameters,
 253 showing that indoor air temperature and indoor relative humidity are higher in the summer, indoor
 254 air CO₂ concentrations are higher in the winter, and indoor fungi concentrations are higher in the
 255 summer. Figure 4 also shows that the differences found between indoor and outdoor
 256 microbiological loads are higher in the winter when windows are more frequently closed and,
 257 therefore, less outside air is introduced by natural ventilation processes.

258 A t-test confirmed significant differences ($p < 0.05$) between summer and winter results for most
 259 parameters analyzed (Table 3), with the exception of indoor bacteria concentrations.
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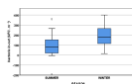
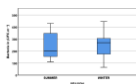


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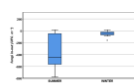
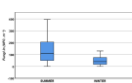


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Figure 3. Boxplots of the results obtained for physical and chemical parameters obtained in hospitals H1 and H2, under normal working conditions, in the summer and winter seasons.



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Figure 4. Boxplots of the results obtained for microbiological parameters obtained in hospitals H1 and H2, under normal working conditions, in the summer and winter seasons.

271 **Table 3.** T-test values for summer and winter IAQ parameters considering data from both hospitals operating
 272 under normal working conditions

Parameter	t	p-value (two-tail) ^a
CO ₂ (ppm)	-2.84	0.014
Temperature (°C)	3.08	0.009
Relative Humidity (%)	11.3	0.000
Bacteria in (CFU.m ⁻³)	-0.0325	0.974
<i>bacteria in-out</i> (CFU. m ⁻³)	-2.20	0.036
Fungi in (CFU. m ⁻³)	2.86	0.010
<i>fungi in-out</i> (CFU. m ⁻³)	-4.34	0.001

^a in bold, p-values lower than 0.05

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274 4. Discussion

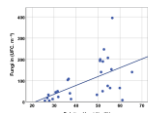
275 The experimental results obtained show that healthcare units using mechanical ventilation
 276 (hospitals H1 and H2) have similar IAQ conditions, for the parameters under study, and are
 277 generally in compliance with the recommended standards for IAQ in healthcare units regarding
 278 indoor air CO₂ concentration and microbiological loads [36,37].

279 Given that in emergency /urgent care assistance the affluence of users is high, the occupation
 280 intensity is higher under these working conditions: the number of different people present inside the
 281 room during one working hour is expected to be higher in emergency /urgent care conditions. In
 282 hospital H2, the good results for the analyzed parameters found under emergency /urgent care
 283 conditions show that mechanical ventilation controls were effective even for high occupation
 284 intensity patterns. On the other hand, the natural ventilation system of the health center HC showed
 285 limitations in providing adequate IAQ during emergency /urgent care attendance: in some cases,
 286 indoor air CO₂ concentrations and microbiological loads exceeded limits established by the
 287 Portuguese legislation.

288 The compliance with the 30 to 50% recommended values for indoor air relative humidity [37]
 289 seems to be a challenge for IAQ control systems: in hospital H1 the ventilation systems is capable of
 290 providing adequate values for RH in the winter, but fails to keep RH below 50% in the summer; on
 291 the other hand, the ventilation system of hospital H2 shows a good performance regarding RH in the
 292 summer, but in the winter RH is systematically below 30%. Low outdoor humidity in cold seasons,
 293 typical of the Portuguese climate characteristics, combined with indoor heating, may explain these
 294 low RH values, which may threat occupants health causing skin problems, nasal dryness and nasal
 295 congestion [21,39]. On the other hand, high indoor air relative humidity promotes the growth and
 296 transfer of airborne microorganisms, and therefore increases the risk of infection [17,21,28,40].

297 Microbiological loads in indoor air are of the utmost importance in healthcare units, since the
 298 aerial dissemination of pathogens is a major cause of nosocomial infections. The presence of fungi in
 299 indoor air results mainly from outdoor air contamination, combined with the occurrence of
 300 favorable environmental conditions, namely high temperature and relative humidity
 301 [12,17,25,28,31,40,41]. Figure 5 shows the experimental results of indoor air relative humidity and
 302 indoor fungi concentration, illustrating a significant moderate positive correlation between these
 303 IAQ parameters ($\rho_{\text{Pearson}} = 0.562$, p-value (two-tail) = 0.002). The highest values for indoor fungi
 304 concentration occur for RH above 50% (Figure 5). Therefore, keeping relative humidity below this
 305 value is expected to reduce airborne infection transmission risks.

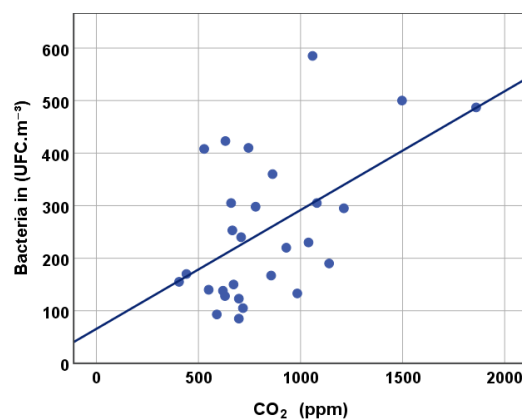
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Figure 5. Correlation between indoor fungi concentration and indoor relative humidity

309 In indoor air, people are the main source of bacteria and CO₂, and therefore both these
 310 parameters are related with indoor activities and occupation patterns [24,40,41]. Yang et al. [24]
 311 report a positive correlation between indoor air CO₂ concentration and bacteria concentration,
 312 suggesting that CO₂ concentration could be used as an indicator for indoor air bacterial
 313 contamination. The confirmation of this correlation could be of great importance in healthcare
 314 sustainability management since real-time monitoring of CO₂ concentration is technically viable and
 315 cost effective, and could provide real-time information regarding indoor air bacterial quality. The
 316 experimental results obtained in the present study were used to test this correlation between indoor
 317 air CO₂ and bacteria concentrations. The results (Figure 6) confirm a significant moderate positive
 318 correlation ($Q_{\text{Pearson}} = 0.526$, $p\text{-value (two-tail)} = 0.004$) described in Yang et al. [24]. Despite the high
 319 data dispersion illustrated in Figure 6, this positive correlation - obtained with data from different
 320 healthcare units, with different occupation intensities, and in different seasons - suggests that
 321 monitoring indoor CO₂ concentration and implementing control practices targeting lower CO₂
 322 concentration values, would lower the probability of achieving high bacterial loads, and therefore
 323 reduce the risks of transmitting airborne infections.
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Figure 6. Correlation between indoor bacteria concentration and indoor air CO₂ concentration.

327 IAQ characterization campaigns revealed seasonal variation for most of the analyzed
 328 parameters, as reported in other studies focusing IAQ in healthcare units [40–42]. The higher values
 329 obtained for indoor air CO₂ concentrations in the winter are explained by the decreased frequency in
 330 window and door opening due to external unfavorable weather conditions. On the other hand, in
 331 the summer, the higher values of indoor air temperature and relative humidity result in an increase
 332 in microbiological loads, particularly detected in fungi concentration. Other studies also report
 333 indoor air fungi concentrations in healthcare facilities to be higher in the summer [40,42]. The effect
 334 of higher temperature and relative humidity on indoor air bacteria concentration in the summer is

335 balanced with the decreased door and window opening in the winter, and therefore the seasonal
336 effect on this parameter is not clear in our results.

337 5. Conclusions

338 The results obtained in this study show that there are improvement opportunities for
339 sustainability management on the scope of IAQ monitoring and control in healthcare facilities.

340 Natural ventilation mechanisms are cost-effective solutions to control IAQ, and the results of
341 the sampling campaigns in the health center HC under normal working conditions show its
342 effectiveness for the parameters analyzed in this study. However, results have also shown that using
343 exclusively natural ventilation failed to assure adequate IAQ conditions in the higher occupation
344 intensity patterns occurring in emergency /urgent care situations. With adequate outdoor air quality
345 conditions, there is an interesting potential in the use of natural ventilation as a complement to
346 mechanical ventilation in IAQ management in healthcare facilities, reducing energy consumption
347 and therefore improving environmental and economic sustainability performances.

348 The effect of indoor air relative humidity in indoor fungi concentration is widely described in
349 the literature, as stated above. Although the recommended values for indoor air relative humidity in
350 healthcare facilities are in the range 30-50%, our results show that keeping relative humidity closer
351 to the lower limit has a significant effect on reducing fungi concentration, consequently lowering the
352 risk of airborne infections. Adequate control of indoor relative humidity is particularly important in
353 the summer months, when fungal concentrations tend to be higher.

354 The positive correlation found in our results between indoor air CO₂ and bacteria
355 concentrations indicates that real-time monitoring and control of CO₂ loads in healthcare facilities is
356 an adequate and cost-effective solution that would also lower the probability of nosocomial
357 infections.

358 The conclusions of this research are limited to the assumption that the results obtained are
359 representative of the typical IAQ conditions of each sampled room. Although this study comprised
360 measurement campaigns in several rooms of three different healthcare units, with different IAQ
361 control mechanisms, and in different working conditions, the generalization of these conclusions
362 requires further studies focusing IAQ characterization campaigns in other healthcare units. Also, the
363 characterization of the fungi and bacteria species present in indoor air of healthcare units could
364 provide relevant information regarding the risk of airborne infections, and therefore is suggested as
365 future research.

366

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369 Maria Guerreiro, Cristina Abreu, Ricardo Silva and Nelson Barros; Methodology, Ana Fonseca, Isabel Abreu,
370 Maria Guerreiro, Cristina Abreu, Ricardo Silva and Nelson Barros; Project administration, Ana Fonseca;
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